



## SPICE ISLANDS APPARELS LTD.

(Govt. Recognised Export House)

Admin. Office: 125-A, Mittal Tower, 12th Floor, 210, Nariman Point, Mumbai 400 021. India

Tel.: +91 (22) 6740 0800, 2282 3128 Fax: +91 (22) 22826167 [www.spiceislandsindia.com](http://www.spiceislandsindia.com)

CIN NO : L17121MH1988PLC050197

**Dated: April 14, 2023**

To,

**The Manager,**

**Listing Department**

BSE Limited, Floor 25,

P J Towers, Dalal Street,

Mumbai – 400 001

**Ref: - BSE Scrip Code 526827**

**Sub.: Certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.**

**Dear Sir,**

We are enclosing herewith the certificate received from Practicing Company Secretary pursuant to Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, for the financial year ended March 31, 2023.

Kindly take the above on record and acknowledge the same.

**Thanks & Regards,**

**For Spice Islands Apparels Limited**

Umesh  
Mohan  
Katre

Digitally signed  
by Umesh  
Mohan Katre  
Date: 2023.04.14  
14:22:26 +05'30'

**Umesh Mohan Katre**

**Director**

**DIN: 00196300**

**Place - Mumbai**



# A. J. SONI & ASSOCIATES

## Company Secretaries

**Address:** Office No. 154, Ostwal Ornate Building No. 1, Jesal Park, Bhayander East, Thane-401105.

**Tel. No.** +91-9773449681 | **E-mail ID:** info@ajsoni.in | **Web:** www.ajsoni.in

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### **CERTIFICATE UNDER REGULATION 40 (9) OF THE SEBI (LODR)**

#### **REGULATIONS FOR THE YEAR ENDED MARCH 31, 2023**

The Securities and Exchange Board of India (SEBI) vide gazette notification dated 8<sup>th</sup> June, 2018 and vide its press release dated 3<sup>rd</sup> December, 2018, amended Regulation 40 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and has mandated that the transfer of securities would be carried out only in dematerialized form w.e.f. 01<sup>st</sup> April, 2019. SEBI vide its press release dated 27<sup>th</sup> March, 2019 clarified that the transfer deeds lodged prior to deadline and returned due to deficiency in the document may be re-lodged for transfer even after the deadline of 01<sup>st</sup> April, 2019. Further, SEBI vide its circular dated 07<sup>th</sup> September, 2020 has fixed 31<sup>st</sup> March, 2021 as the cut-off date for re-lodgment of such transfer deeds and the shares that are re-lodged for transfers shall be issued only in demat mode. SEBI has specified operational guidelines for transfer and dematerialization of re-lodged physical shares vide its circular dated 02<sup>nd</sup> December, 2020.

SEBI vide gazette notification dated 24<sup>th</sup> January, 2022 read with SEBI circular no. SEBI/HO/MIRSD/MIRSD\_RTAMB/P/CIR/2022/8 dated 25<sup>th</sup> January, 2022 has mandated that companies shall effect issuance of certificates or receipts or advices, as applicable in dematerialized form only, while processing the service requests relating to Issue of duplicate securities certificate, Claim from Unclaimed Suspense Account, Renewal / Exchange of securities certificate, Endorsement, Sub-division / Splitting of securities certificate, Consolidation of securities certificates/folios, Transmission and Transposition.

Accordingly, on the basis of examination of the relevant documents relating to Spice Island Apparels Limited (the Company) maintained and provided by Link Intime India Private Limited, Registrar and Share Transfer Agents (RTA), pertaining to the financial year ended March 31, 2023 for the purpose of issuing a Certificate as per Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, We hereby certify that:

A) 1.a. The Company has not received any requests relating to transfers of securities during the financial year ended March 31, 2023.

1. b. The Company has delivered / sent during year ended on March 31, 2023, share certificates/ letters of confirmation as per SEBI's operational guidelines, for execution



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of Sub Div/Console/Duplicate & deletion of name received during the financial year ended March 31, 2023, within prescribed time from the respective date of lodgments. As informed to us there were no requests relating to transposition of securities, sub-division (split), consolidation, renewal, exchange or endorsement of calls/allotment monies during the said period.

B) Any other information, if applicable:-

**For A. J. Soni & Associates  
Company Secretaries**

ARJUN  
JAGDISHCHANDR  
A SONI

Digitally signed by ARJUN  
JAGDISHCHANDRA SONI  
Date: 2023.04.12 11:20:20  
+05'30'

**(CS. Arjun J. Soni)**  
**Practicing Company Secretary**  
**FCS: 10721/ C.P. No. 15446**  
**UDIN: F010721E000067437**

**Place: Mumbai**

**Date: 12.04.2023**